



07-03-00

CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL

(Only for Continuation or Divisional applications under 37 C.F.R. §1.53(d))

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Address to:  Assistant Commissioner for Patents  Box CPA  Washington, DC 20231	Attorney Docket No.	TGI-003XX
	First Named Inventor:	Gary R. Wolpe et al.
	Examiner Name:	Glenn Swann
	Group / Art Unit:	2736
	Express Mail Label No.	EL406421625US

Sir:

This is a Request for filing a ☒ continuation or ☐ divisional under 37 C.F.R. 1.53 (d), (continued prosecution application (CPA)) of prior application Serial No. 09/203,449, filed on December 1, 1998, entitled: SECURITY DEVICE HAVING MULTIPLE SECURITY FEATURES.

NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. § 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. § 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ per Section 1.116.
2. ☐ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. 1.53 (d)(4).
  - a. ☐ Delete the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☒ A copy of the power of attorney or authorization of agent is enclosed.

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01 FC:231 345.00 OP  
02 FC:202 117.00 OP  
03 FC:203 261.00 OP  
04 FC:217 435.00 OP

11/98'

4. ☒ A copy of the power of attorney or authorization of agent is enclosed.

Attorney Docket No. TGI-003XX

5. Information Disclosure Statement (IDS) is enclosed:

- a. ☐ PTO-1449  
b. ☐ Copies of IDS Citations

**SUBMIT IN DUPLICATE**

CLAIMS	NUMBER FILED	EXTRA CLAIMS	RATE	CALCULATIONS
Independent	6 - 3 =	3	x \$78.00 =	234.00
Total	49 - 20 =	29	x \$18.00 =	522.00
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ =	0.00
			BASIC FEE	\$ 690.00
			<b>SUBTOTAL FILING FEE</b>	<b>\$1446.00</b>
Small Entity filing, divide by 1/2. (Note: verified statement must be attached per Section 1.9, Section 1.27, Section 1.28.)				
			<b>TOTAL FILING FEE</b>	<b>\$723.00</b>

6. Small entity status:

- a. ☒ A small entity statement is enclosed, if (b) and (c) do not apply.  
b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.  
c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 02-3285:

- a. ☐ Fees required under 37 C.F.R. § 1.16.  
b. ☒ Fees required under 37 C.F.R. § 1.17.  
c. ☐ Fees required under 37 C.F.R. § 1.18.

8. X A check in the amount of \$1158.00 (\$723.00 filing fee plus \$435 Extension of Time Fee) is enclosed.

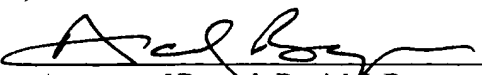
9. ☐ New Attorney Docket Number, if desired

10. a. ☐ Receipt For Facsimile Transmitted CPA  
b. ☒ Return Receipt Postcard

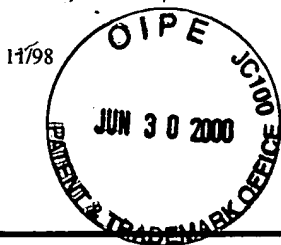
11. ☒ Other: Copy of the Assignment to Technical Graphics Security Graphics, Inc. with Recordation Cover Sheet; and Petition for Extension of Time for three months.

Address all communications to:

Bourque & Associates, P.A.  
835 Hanover Street  
Suite 303  
Manchester, New Hampshire 03104  
Telephone: (603) 623-5111  
Facsimile: (603) 624-1432

  
Attorney of Record: Daniel J. Bourque  
Registration No.: 35,457

Date: June 30, 2000



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	Examiner Name:	Glenn Swanson
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TECHNICAL SERVICES

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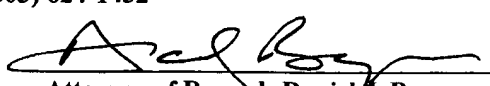
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Suite 303  
Manchester, New Hampshire 03104  
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Attorney of Record: Daniel J. Bourque  
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